



FORM PTO-1449	SERIAL NO. 09/305,234	CASE NO. 10022/248
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE May 4, 1999	GROUP ART UNIT 2164
(use several sheets if necessary)		APPLICANT(S): Guyan et al.

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	4,648,037	03/13/1987	Valentino		
	A2	4,713,755	12/15/1987	Worley et al.		
	A3	5,128,859	07/07/1992	Carbone et al.		
	A4	5,181,162	01/19/1993	Smith		
	A5	5,182,705	01/26/1993	Barr et al.		
	A6	5,241,664	08/31/1993	Ohba et al.		
	A7	5,367,619	11/22/1994	Dipaolo et al.		
	A8	5,392,428	02/21/1995	Rabins		
	A9	5,420,973	05/30/1995	Dagdeviren		
	A10	5,445,653	08/29/1995	Hixson et al.		
	A11	5,523,942	06/04/1996	Tyler et al.		
	A12	5,530,861	06/25/1996	Diamant		
	A13	5,581,682	12/30/1996	Anderson et al.		
	A14	5,592,611	01/07/1997	Midgely et al.		
	A15	5,632,015	05/20/1997	Zimowski et al.		
	A16	5,640,501	06/17/1997	Turpin		
	A17	5,655,085	08/05/1997	Ryan et al.		
	A18	5,664,109	09/02/1997	Johnson et al.		
	A19	5,671,360	09/23/1997	Hambrick et al.		
	A20	5,675,745	10/07/1997	Oku et al.		
	A21	5,687,385	11/11/1997	Janay		
	A22	5,701,400	12/23/1997	Amado		
	A23	5,721,913	02/24/1998	Ackroff		
	A24	5,721,940	02/24/1998	Luther		
	A25	5,724,575	03/03/1998	Hoover		
	A26	5,726,884	03/10/1997	Sturgeon et al.		
	A27	5,742,836	04/21/1998	Turpin		
	A28	5,752,055	05/12/1998	Redpath et al.		
	A29	5,768,506	06/16/1998	Randell		
	A30	5,799,297	08/25/1998	Goodridge et al.		
	A31	5,809,318	09/15/1998	Tivette et al.		
	A32	5,826,020	10/20/1998	Randell		
	A33	5,826,237	10/20/1998	MacRae et al.		
	A34	5,826,239	10/20/1998	Du et al.		
	A35	5,836,011	11/10/1998	Hambrick et al.		
	A36	5,845,289	12/01/1998	Baumeister et al.		
	A37	5,867,385	02/02/1999	Brown		
	A38	5,870,711	02/09/1999	Huffman		
	A39	5,884,256	03/16/1999	Bennett et al.		

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	A40	5,920,696	07/06/1999	Brandt et al.		
	A41	5,946,694	03/31/1999	Copeland et al.		
	A42	5,956,687	09/21/1999	Wamsley et al.		
	A43	5,970,464	10/19/1999	Apte et al.		
	A44	5,991,733	11/23/1999	Aleia et al.		
	A45	6,003,007	12/14/1999	DiRienzo		
	A46	6,021,418	02/01/2000	Brandt et al.		
	A47	6,038,590	03/14/2000	Gish		
	A48	6,044,384	03/28/2000	Ishima et al.		
	A49	6,061,665	05/09/2000	Bahreman		
	A50	6,065,000	05/16/2000	Jensen		
	A51	6,067,525	05/23/2000	Johnson et al.		
	A52	6,070,152	05/30/2000	Carey et al.		
	A53	6,078,890	06/20/2000	Mangin et al.		
	A54	6,108,673	08/22/2000	Brandt et al.		
	A55	6,125,363	09/25/2000	Buzzeo et al.		
	A56	6,131,155	10/10/2000	Alexander et al.		
	A57	6,141,011	10/31/2000	Bodnar et al.		
	A58	6,151,660	11/21/2000	Aoki		
	A59	6,182,274	01/30/2001	Lau		
	A60	6,199,099	03/06/2001	Gershman et al.		
	A61	6,230,169	05/08/2001	Nagae		
	A62	6,311,192	10/30/2001	Rosenthal et al.		
	A63	6,356,905	03/12/2002	Gershman et al.		
	A64	6,393,431	05/21/2002	Salvati et al.		
	A65	6,393,456	05/21/2002	Ambler et al.		
	A66	6,470,303	10/22/2002	Kidd et al.		
	A67	6,546,396	04/08/2003	Borkowski et al.		
	A68	6,549,893	04/15/2003	Lannert		
	A69	6,574,636	06/03/2003	Balon et al.		
	A70	6,632,251	10/14/2003	Rutten et al.		
	A71	6,671,716	12/30/2003	Diedrichsen et al.		
	A72	6,679,959	01/20/2004	Boyd et al.		
	A73	6,687,878	02/03/2004	Einracht et al.		
	A74	6,714,914	03/30/2004	Peters et al.		
	A75	6,862,732	03/01/2005	Schultz et al.		
	A76	6,879,959	04/12/2005	Chapman et al.		
	A77	6,896,574	05/24/2005	Needham, et al.		
	A78	6,898,574	05/24/2005	Regan		
	A79	6,937,990	08/30/2005	Walker et al.		
	A80	7,065,504	06/20/2006	Sakuma et al.		

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	A81	7,076,504	07/11/2006	Handel		
	A82	7,194,679	03/20/2007	Green		
	A83	2002/0035488	03/21/2002	Aquiklia et al.		
	A84	2002/0188674	12/12/2002	Brown et al.		
	A85	2003/0009357	01/09/2003	Pish		
	A86	2005/0246206	11/03/2005	Obora et al.		

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A87	JP 11-353234	12-1999	Japan		ABSTRACT
	A88	WO 2000/67182 A2	11-2000	WO		

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## OTHER ART – NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

	A89	"AFLAC cuts processing time with object system. (American Family Life Assurance, Portable Systems Technology's SmartImage insurance processing automation system) (Company Operations). Christy Tauhert. <i>Insurance &amp; Technology</i> 22.n6(June 1997): pp25(2) abstract
	A90	"Case study: Advantage. (Motorists Insurance Companies uses Advantage, a business-rule DBMS developed in-house, to manage quoting, data entry, rating and other core processes)(Data Architect) (Company Operations). John Milligan. <i>Database Programming &amp; Design</i> 10.n12(Dec. 1997): pp17(3)
	A91	"Image-Enabled App Streams Workflow for Gerling Re.(Gerling Global Reinsurance's use of keyFile's document management software and insurance Software and Systems' SICS insurance record-keeping software)(Product Information). <i>Insurance &amp; Technology</i> (Feb. 1, 1997): pNA(1).(839 words)
	A92	"John Hancock streamlines legacy systems with objects. (John Hancock Mutual Life Insurance)(Company Operations). Paul Way. <i>Insurance &amp; Technology</i> 22.n9(Sept. 1997):pp 24(1) abstract
	A93	Bandat, K, "Document Based Customization and Adaptation of Process" IBM Technical Disclosure Bulletin, Sept. 1994, pp 629-630
	A94	Engel, James D., "Technology in claims management", <i>Risk Management</i> , Dec. 1995 v42 n12 p13(3)
	A95	Fisher, Susan, E., "Insurer streamlines info gathering" (Client/Server Deployment: Arkwright Mutual Insurance) <i>PC Week</i> , Nov. 15, 1993 v10 n45 p. 8(2)
	A96	Held, Jeffrey J., Network Computing Practice, "GroupWare In Investment Banking: Improving Revenue and Deal Flow", <i>GroupWare '92</i> , Edited by David D. Coleman, The Conference Group, Morgan Kaufmann Publishers, pp. 461-464

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EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	
	A97	Hung K. Sun Y. Rose T. "A dynamic business object architecture for an insurance industrial project." Proceedings of International Conference on Object Oriented Information Systems, (OOIS'97). Brisbane, Qld., Australia 10-12 Nov. 1997, Published 1998, abstract
	A98	International Search Report dated 8/8/02 for PCT/US00/12508
	A99	International Search Report dated 9/12/02 for PCT/US00/12238
	A100	Kappel, G. et al., "Coordination in Workflow Management Systems A Rule-Based Approach", Department of Information Systems, University of Linz, A-4040 Linz, Austria, pp. 99-119
	A101	Koehler SH, "Proceedings of OBJECT EXPO '94. New York, NY, USA 6-10 June 1994, Published 1994, abstract
	A102	Korzeniowski, Paul, "Workflow software automates processes; passing messages on network platform helps CareAmerica process insurance claims" (use of workflow software to streamline business processes) (Client/Service Computing), <b>Software Magazine</b> , Feb. 1993 v13 n3 p73(4)
	A103	Medina-Mora, "Action Workflow™ Technology and Applications for Groupware" <b>GroupWare '92</b> , Edited by David D. Coleman, The Conference Group, Morgan Kaufmann Publishers, pp. 165-167
	A104	Nilsson, Y. "TFM: a tool for task flow management." Philips Telecommunication and Data Systems Review, vol. 47, no. 4, Dec. 1989, pp. 33-46. Netherlands. Abstract
	A105	"Primavera Extends Lead in High-End Project Management Software," Business Wire, 4/4/95
	A106	"Primavera Products and Solutions," <a href="http://www.primavera.com/products/p3.html">www.primavera.com/products/p3.html</a> , downloaded from internet 2/2/01, 2 pages
	A107	Stickel E., "Competitive product development in the financial services industry-a knowledge-based approach" International Journal of Intelligent Systems in Accounting, Finance and Management, vol. 4, no. 4, Dec. 1995, pp. 273-87, abstract
	A108	Sutherland, RW, "TABLE Driven Health Insurance Claim Preparation" IBM Technical Disclosure Bulletin, July 1986, abstract
	A109	Todd, G. et al., "Microsoft Exchange Server 5.5, 556 pages
	A110	Tombros, Dimitrios et al., "Semantics of Reactive Components in Event-Driven Workflow Execution", Institut for Informatic, Universitat Zurich, Advanced Information systems Engineering, 9 <sup>th</sup> International Conference, SAISE'97, Barcelona, Catalonia, Spain, June 16-20, 1997 Proceedings, pp. 409-422
	A111	Winograd, Terry, "Groupware And The Emergence of Business Technology", <b>GroupWare '92</b> , Edited by David D. Coleman, The Conference Group, Morgan Kaufmann Publishers, pp. 69-72
	A112	"Report on the Filing or Determination of an Action Regarding a Patent or Trademark," identifying U.S. Patent No. 7,013,284 B2 asserted in Accenture Global Services GmbH, et al. v. Guidewire Software, Inc., Dkt. No. 07cv826, D. Del. 12/18/07.
	A113	"Answer and Counterclaims," filed on February 6, 2008 in lawsuit asserting U.S. Patent No. 7,013,284 B2, captioned Accenture Global Services GmbH, et al. v. Guidewire Software, Inc., Dkt. No. 07cv826, D. Del. 12/18/07.
	A114	The prosecution history of U.S. Patent Application No. 09/305,228 shown in the attached Patent Application Retrieval file wrapper document list, printed April 3, 2008, including each substantive office action and applicant response.

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	A115	The prosecution history of U.S. Patent Application No. 11/017,086 shown in the attached Patent Application Retrieval file wrapper document list, printed April 3, 2008, including each substantive office action and applicant response
	A116	Office Action, mailed February 26, 2001, for commonly owned U.S. Application No. 09/305,228.
	A117	August 27, 2001 Response to Office Action mailed February 26, 2001, for commonly owned U.S. Application No. 09/305,228.
	A118	Office Action, mailed October 18, 2001, for commonly owned U.S. Application No. 09/305,228.
	A119	January 18, 2002 Response to Office Action, mailed October 18, 2001, for commonly owned U.S. Application No. 09/305,228.
	A120	Office Action, mailed March 1, 2002, for commonly owned U.S. Application No. 09/305,228.
	A121	July 1, 2002 Response to Office Action, mailed March 1, 2002, for commonly owned U.S. Application No. 09/305,228.
	A122	Office Action, mailed April 9, 2002, for commonly owned U.S. Application No. 09/305,228.
	A123	Office Action, mailed September 11, 2002, for commonly owned U.S. Application No. 09/305,228.
	A124	March 11, 2003 Response to Office Action mailed September 11, 2002, for commonly owned U.S. Application No. 09/305,228.
	A125	Office Action, mailed June 4, 2003, for commonly owned U.S. Application No. 09/305,228.
	A126	October 6, 2003 Response to Office Action mailed June 4, 2003, for commonly owned U.S. Application No. 09/305,228.
	A127	Office Action, mailed October 22, 2003, for commonly owned U.S. Application No. 09/305,228.
	A128	February 20, 2004 Response to Office Action mailed October 22, 2003, for commonly owned U.S. Application No. 09/305,228.
	A129	Office Action, mailed May 13, 2004, for commonly owned U.S. Application No. 09/305,228.
	A130	Office Action, mailed November 16, 2004, for commonly owned U.S. Application No. 09/305,228.
	A131	Office Action, mailed August 13, 2001, for commonly owned U.S. Application No. 09/305,817.
	A132	February 12, 2002 Response to Office Action, mailed August 13, 2001, for commonly owned U.S. Application No. 09/305,817.
	A133	Office Action, mailed March 22, 2002, for commonly owned U.S. Application No. 09/305,817.
	A134	October 8, 2002 Response to Office Action, mailed March 22, 2002, for commonly owned U.S. Application No. 09/305,817.
	A135	Office Action, mailed December 20, 2002, for commonly owned U.S. Application No. 09/305,817.
	A136	June 20, 2003 Response to Office Action, mailed December 20, 2002, for commonly owned U.S. Application No. 09/305,817.
	A137	Office Action, mailed July 22, 2003, for commonly owned U.S. Application No. 09/305,817.
	A138	Office Action, mailed April 6, 2004, for commonly owned U.S. Application No. 09/305,817.
	A139	Office Action, mailed March 14, 2001, for commonly owned U.S. Application No. 09/305,331.

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	A140	September 14, 2001 Response to Office Action, mailed March 14, 2001, for commonly owned U.S. Application No. 09/305,331.
	A141	Office Action, mailed December 3, 2001, for commonly owned U.S. Application No. 09/305,331.
	A142	Office Action, mailed August 14, 2002, for commonly owned U.S. Application No. 09/305,331.
	A143	November 14, 2002 Response to Office Action, mailed August 14, 2002, for commonly owned U.S. Application No. 09/305,331.
	A144	Office Action, mailed December 20, 2002, for commonly owned U.S. Application No. 09/305,331.
	A145	Office Action, mailed March 4, 2003, for commonly owned U.S. Application No. 09/305,331.
	A146	February 20, 2003 Response to Office Action, mailed December 20, 2002, for commonly owned U.S. Application No. 09/305,331.
	A147	Office Action, mailed June 30, 2003, for commonly owned U.S. Application No. 09/305,331.
	A148	September 22, 2003 Response to Office Action, mailed June 30, 2003, for commonly owned U.S. Application No. 09/305,331.
	A149	Office Action, mailed December 3, 2003, for commonly owned U.S. Application No. 09/305,331.
	A150	January 5, 2004 Response to Office Action, mailed December 3, 2003, for commonly owned U.S. Application No. 09/305,331.
	A151	February 2, 2004 Response to Office Action, mailed December 3, 2003, for commonly owned U.S. Application No. 09/305,331.
	A152	Office Action, mailed March 21, 2001, for commonly owned U.S. Application No. 09/305,816.
	A153	September 21, 2001 Response to Office Action, mailed March 21, 2001, for commonly owned U.S. Application No. 09/305,816.
	A154	Office Action, mailed November 23, 2001 for commonly owned U.S. Application No. 09/305,816.
	A155	April 19, 2002 Response to Office Action, mailed November 23, 2001 for commonly owned U.S. Application No. 09/305,816.
	A156	Office Action, Notice of Allowability, mailed May 3, 2002 for commonly owned U.S. Application No. 09/305,816.

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